

BROKER TO BROKER TRANSFER AUTHORITY FORM - OPTIONS

Please complete this form if you wish to transfer Options Positions from another Sponsoring Broker to Pershing Securities Australia Pty Ltd (**Pershing**)

Important: PLEASE ATTACH A COPY OF YOUR LATEST POSITION STATEMENT

1. PERSHING ACCOUNT DETAILS

Account Number

Account Name

2. DETAILS AT EXISTING SPONSORING BROKER

For your transfer to be successful, your registration details (i.e. your name and address) on this form must agree with the details on your account with Pershing. If not, you will need to advise your existing Broker of any changes before we can process this transfer.

Please ensure that the details supplied below match the details recorded on your latest Statement

Registered Name

Account Designation or Trust e.g. <X & Y Superfund A/c>

Registered Address

Name of existing Broker

HIN at existing Broker

Existing Broker Account Number

Existing Broker PID

Please select one option:

- Please transfer ALL Options from existing broker to Pershing (PID 1791); **or**
- Please transfer only those positions shown in the attached statement /confirmation note from existing broker to Pershing (PID 1791); **or**
- Please transfer only those positions listed below from existing broker to Pershing (PID 1791)

OPTIONS POSITIONS TO BE TRANSFERRED (Attach a separate sheet if additional securities are required to be transferred)

CALL / PUT, EXPIRY MONTH, STRIKE	QUANTITY	TRADE PRICE

Agreement:

I/we authorise Pershing to transfer the existing Options Positions as detailed above to my/our Pershing account and we agree to be bound by Pershing's Derivatives Terms and Conditions.

Signature of Account Holders Requesting Transfer (all account holders must sign):

Full Name Signature Date

Full Name Signature Date

Full Name Signature Date

*ALL ACCOUNT HOLDERS MUST SIGN. FOR COMPANY ACCOUNTS, SIGN IN ACCORDANCE WITH THE COMPANY'S CONSTITUTION